

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Paul DiCarlo et al.
Serial No. : 10/791,103
Filed : March 2, 2004
Title : EMBOLIC COMPOSITIONS

Art Unit : 1773
Examiner : Kiliman, Leszek B.

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants submit the attached PTO-1449 form with copies of references if applicable.

Applicants also hereby notify the Examiner of the following commonly owned co-pending U.S. patent application:

U.S. Patent Application Serial No. 11/000,741, filed December 1, 2004.

Applicants are uncertain of the date of publication of the following references that are listed in the attached PTO-1449 form:

- (1) FeRx Incorporated, FERX Profile, <http://www.biotechshares.com/FERX.htm>, 4 pages (Ref. AEEEE)
- (2) Kochan, J.P. et al., "Interventional Neuroradiology: Current Practices and Techniques at Temple University Hospital", <http://www.temple.edu/radiology/stroke.html>, 5 pages (Ref. AOOOO)
- (3) Mather, P.T., Research Group Homepage, Basic Goals and Methods, <http://www.ims.uconn.edu/-mather>, 4 pages (Ref. AWWWW)
- (4) SIR-Spheres (Yttrium-90 Microspheres), pp. 1-12 (Ref. AOOOOO)
- (5) Wu, A.M., "Engineered Antibodies for Breast Cancer Imaging and Therapy" Available web site: <http://www.ucop.edu/srphome/bcrp/progressreport/abstracts/innov/21B-0084.html> (Ref. AEEEEEE)

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Page : 2 of 2

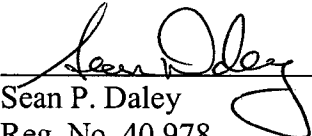
Attorney's Docket No.: 01194-823002 / 02-082US02

Therefore, while Applicants do not concede that these references qualify as prior art to this application, Applicants request that the Examiner treat them as prior art at this time for purposes of examination of this application.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 3/27/05


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Substitute Form PTO-1449 (Modified) JAN 31 2005 Information Disclosure Statement by Applicant (Use separate sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 01194-823002	Application No. 10/791,103
	Applicant Paul DiCarlo et al.		
	Filing Date March 2, 2004	Group Art Unit 1773	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/LK/	AA	4,034,759	07/12/77	Haerr			
/LK/	AB	4,094,848	06/13/78	Naito			
/LK/	AC	4,096,230	06/20/78	Haerr			
/LK/	AD	4,159,719	07/03/79	Haerr			
/LK/	AE	4,402,319	09/06/83	Handa et al.			
/LK/	AF	4,640,807	02/03/87	Afghan et al.			
/LK/	AG	4,674,480	06/23/87	Lemelson			
/LK/	AH	4,742,086	05/03/88	Masamizu et al.			
/LK/	AI	4,793,980	12/27/88	Torobin			
/LK/	AJ	4,946,899	08/07/90	Kennedy et al.			
/LK/	AK	4,954,399	09/04/90	Tani et al.			
/LK/	AL	5,015,423	05/14/91	Eguchi et al.			
/LK/	AM	RE 34,640	06/14/94	Kennedy et al.			
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/LK/	AO	5,336,263	08/09/94	Ersek et al.			
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/LK/	AR	5,840,387	11/24/98	Berlowitz-Tarrant et al.			
/LK/	AS	5,895,398	04/20/99	Wensel et al.			
/LK/	AT	5,902,834	05/11/99	Porrvik			
/LK/	AU	6,048,908	04/11/00	Kitagawa			
/LK/	AV	6,056,721	05/02/00	Shulze			
/LK/	AW	6,160,084	12/12/00	Langer et al.			
/LK/	AX	6,245,090	06/12/01	Gilson et al.			
/LK/	AY	6,296,604	10/02/01	Garibaldi et al.			
/LK/	AZ	6,296,622	10/02/01	Kurz et al.			
/LK/	AAA	6,296,632	10/02/01	Luscher et al.			

Examiner Signature /LK/ /Leszek Kiliman/	Date Considered 04/02/2008
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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U.S. Patent Documents							
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/LK/	ABB	6,306,419	10/23/01	Vachon et al.			
/LK/	ACC	6,312,407	11/06/01	Zadno-Azizi et al.			
/LK/	ADD	6,355,275	03/12/02	Klein			
/LK/	AEE	6,379,373	04/30/02	Sawhney et al.			
/LK/	AFF	6,388,043	05/14/02	Langer et al.			
/LK/	AGG	6,436,112	08/20/02	Wensel et al.			
/LK/	AHH	6,443,941	09/03/02	Slepian et al.			
/LK/	AII	6,476,069	11/05/02	Krall et al.			
/LK/	AJJ	2002/0197208	12/26/02	Ruys et al.			
/LK/	AKK	2003/0007928	01/09/03	Gray			
/LK/	ALL	6,544,503	04/08/03	Vanderhoff et al.			
/LK/	AMM	6,545,097	04/08/03	Pinchuk et al.			
/LK/	ANN	6,575,896	06/10/03	Silverman et al.			
/LK/	AOO	2003/0108614	06/12/03	Volkonsky et al.			
/LK/	APP	6,602,261	08/05/03	Greene, Jr., et al.			
/LK/	AQQ	6,605,111	08/12/03	Bose et al.			
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/LK/	ASS	6,629,947	10/07/03	Sahatjian et al.			
/LK/	ATT	6,632,531	10/14/03	Blankenship			
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/LK/	AVV	6,652,883	11/25/03	Goupil et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
/LK/	AWW	EP0123235	10/31/1984	Europe				X
/LK/	AXX	EP0123235	10/31/1984	Europe (Abstract)			X	
/LK/	AYY	EP0122624	10/24/1984	Europe				X

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Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
/LK/	AZZ	EP0122624	10/24/1984	Europe (Abstract)			X	
	AAAA	DE3834705	04/12/1990	Germany				X
	ABBB	DE3834705	04/12/1990	Germany (Abstract)			X	
	ACCC	WO91/12823	05/09/1991	PCT				
	ADDD	EP0458745A1	05/14/1991	Europe				
	AEEE	EP0458079A2	11/27/1991	Europe				X
	AFFF	EP0458079A2	11/27/1991	Europe (Abstract)			X	
	AGGG	WO 95/33553	12/14/1995	PCT				
	AHHH	EP0067459B2	10/07/1998	Europe				
	AIII	AU-A-76186/98	10/15/1998	Australia				
	AJJJ	WO 99/00187	01/07/1999	PCT				
	AKKK	WO03/051451	06/26/2003	PCT				
	ALLL	EP 0 764 047	08/27/2003	Europe				
	AMMM	EP 0 993 337	04/14/2004	Europe				
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/LK/	A000	Abbara, S. et al., "Transcervical Expulsion of a Fibroid as a Result of Uterine Artery Embolization for Leiomyomata", <i>JVIR</i> , Vol. 10, No. 4, pp. 409-411; 1999
	APPP	Abrahams, J.M. et al., "Topic Review: Surface Modifications Enhancing Biological Activity of Guglielmi Detachable Coils in Treating Intracranial Aneurysms", <i>Surg. Neurol.</i> 54:34-41, 2000
	AQQQ	Abrahams, J.M. et al., "Delivery of Human Vascular Endothelial Growth Factor with Platinum Coils Enhances Wall Thickening and Coil Impregnation in a Rat Aneurysm Model", <i>AJNR Am. J. Neuroradiol.</i> 22:1410-1417, August 2001
	ARRR	Ahuja, A.A., "Platinum Coil Coatings to Increase Thrombogenicity: A Preliminary Study in Rabbits", <i>AJNR Am. J. Neuroradiol.</i> 14:794-798; July/August 1993
	ASSS	Antibody Labeling http://www.altcorp.com/AffinityLabeling/ablaeling.htm , pp. 1-6, May 20, 2003
/LK/	ATTT	Berkowitz, R.P. et al., "Vaginal Expulsion of Submucosal Fibroids After Uterine Artery Embolization", <i>Journal of Reproductive Medicine</i> , Vol. 44, No. 4, pp. 373-376; April 1999 http://www.reproductivemedicine.com

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Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
/LK/	AUUU	Bradley, E.A. et al., "Transcatheter Uterine Artery Embolisation to Treat Large Uterine Fibroids", <i>British Journal of Obstetrics and Gynaecology</i> , Vol. 105, pp. 235-240; February 1998
	AVVV	Brockmann, J. et al., "Radiolabeling of p-Bz-DOTA-CD-11c antibody with ⁸⁸ Y: Conjugation, Labeling, Biodistribution studies", 2 pages, 2000 http://www.kernchemie.uni-mainz.de/downloads/jb2000/b14_brockmann.pdf
	AWWW	Carroll, B.A. et al., "Microbubbles as Ultrasonic Contrast Agents", <i>Investigative Radiology</i> , Vol. 14, No. 3, Supplement to May-June 1979
	AXXX	Carroll, B.A. et al., "Gelatin Encapsulated Nitrogen Microbubbles as Ultrasonic Contrast Agents", <i>Journal of Clinical and Laboratory Research</i> , Vol. 15, No. 1; February 1980
	AYYY	Carstensen, E.L. et al., "Determination of the Acoustic Properties of Blood and its Components", <i>Journal of Acoustical Society of America</i> , Vol. 25, No. 2; March 1953
	AZZZ	Cirkel, U. et al., "Experience with Leuporelin Acetate Depot in the Treatment of Fibroids: A German Multicentre Study", <i>Clinical Therapeutics</i> , Vol. 14, Suppl. A, 1992
	AAAAA	Deasy, P. B., "Microencapsulation and Related Drug Processes", New York, NY, Marcel Dekker, Inc., 345 pages, 1984 (Table of Contents only)
	ABBBB	DeGast, A.N. et al., "Transforming Growth Factor β -coated Platinum Coils for Endovascular Treatment of Aneurysms: An Animal Study", <i>Neurosurgery</i> , Vol. 49, No. 3, pp. 690-696, Sept. 2001
	ACCCC	Feldman, L. et al., "Transcatheter Vessel Occlusion: Angiographic Results Versus Clinical Success", <i>Radiology</i> , Vol. 147, pp. 1-5, April 1983
	ADDDD	Ferrofluids, Physical Properties and Applications Ferrofluidics Corp., Nashua, NH, 5 pages, 1986
	AEEEE	FeRx Incorporated, FERX Profile http://www.biotechshares.com/FERX.htm , 4 pages
	AFFFF	Fritzsche, T. et al., "SH U 508, A Transpulmonary Echocontrast Agent", <i>Investigative Radiology</i> , Vol. 25, Supplement 1, pp. S160-S161, September 1990
	AGGGG	Fujimoto, S. et al., "Biodegradable Mitomycin C Microspheres Given Intra-Arterially for Inoperable Hepatic Cancer", <i>Cancer</i> , Vol. 56, pp. 2404-2410, 1985
	AHHHH	Gilbert, W.M. et al., "Angiographic Embolization in the Management of Hemorrhagic Complications of Pregnancy", <i>American Journal of Obstetrics and Gynecology</i> , Vol. 166, No. 2, pp. 493-497, February 1992
	AIIII	Goldberg, B.B., "Ultrasonic Cholangiography", <i>Radiology</i> , Vol. 118, pp. 401-404, February 1976
	AJJJJ	Gramiak, R. et al., "Ultrasound Cardiography: Contrast Studies in Anatomy and Function", <i>Radiology</i> , Vol. 92, No. 5, pp. 939-948, April 1969
	AKKKK	Greenwood, L.H. et al., "Obstetric and Nonmalignant Gynecologic Bleeding: Treatment with Angiographic Embolization", <i>Radiology</i> , Vol. 164, No. 1, pp. 155-159, July 1987
	ALLLL	Jones, S.K. et al., "Experimental Examination of a Targeted Hyperthermia System Using Inductively Heated Ferromagnetic Microspheres in Rabbit Kidney", <i>Phys. Med. Biol.</i> , Vol. 46, No. 2, pp. 385-398, February 2001 www.iop.org/Journals/pb
/LK/	AMMMM	Kallmes, D.F. et al., "In Vitro Proliferation and Adhesion of Basic Fibroblast Growth Factor-producing Fibroblasts on Platinum Coils", <i>Radiology</i> , Vol. 206, No. 1, pp. 237-243, January 1998

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Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
/LK/	ANNNN	Kerber, C., "Balloon Catheter with a Calibrated Leak", <i>Radiology</i> , Vol. 120, pp. 547-550, September 1976
	AOOOO	Kochan, J.P. et al., "Interventional Neuroradiology: Current Practices and Techniques at Temple University Hospital" http://www.temple.edu/radiology/stroke.html , 5 pages
	APPPP	Kuhn, R. et al., "Embolic Occlusion of the Blood Supply to Uterine Myomas: Report of 2 Cases", <i>Aust. NZ. J. Obstet. Gynaecol.</i> , Vol. 39, No. 1, pp. 120-122, February 1999
	AQQQQ	Kurbatova, G.T. et al., "Magnetically-guided Anesthetics Based on Highly Dispersed Iron Powders Coated by Polyacrylamide", <i>Biofizika</i> , Vol. 47, No. 2, pp. 331-337, March-April 2002 http://intapp.medscape.com/px/medlineapp
	ARRRR	Lendlein, A. et al., "Biodegradable, Elastic Shape-Memory Polymers for Potential Biomedical Applications", <i>Science</i> , Vol. 296, pp. 1673-1676, May 31, 2002
	ASSSS	Leventon, William, "Hemocompatible Coatings for Blood-Contacting Devices", <i>Medical Device & Diagnostic Industry: Coating Technologies - New Methods to Ensure Blood Compatibility</i> , Vol. 25, No. 8, pp. 62-67, August 2003
	ATTTT	Lowery, C.L. et al., "Screening Tests for Intrauterine Growth Retardation: A Comparison of Umbilical Artery Doppler to Real-Time Ultrasound", <i>Echocardiography</i> , Vol. 7, No. 2, pp. 159-164, March 1990
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	AVVVV	Marx, W. F. et al., "Endovascular Treatment of Experimental Aneurysms by Use of Biologically Modified Embolic Devices: Coil-mediated Intraaneurysmal Delivery of Fibroblast Tissue Allografts", <i>AJNR. Am. J. Neuroradiol.</i> , Vol. 22, pp. 323-333, February 2001
	AWWWW	Mather, P.T., Research Group Homepage, Basic Goals and Methods http://www.ims.uconn.edu/-mather , 4 pages
	AXXXX	Matsumoto, H. et al., "Basic Fibroblast Growth Factor Released from a Platinum Coil with a Polyvinyl Alcohol Core Enhances Cellular Proliferation and Vascular Wall Thickness: An In Vitro and In Vivo Study", <i>Neurosurgery</i> , Vol. 53, No. 2, pp. 402-408, August 2003
	AYYYY	Matsumoto, Y. et al., "Room-Temperature Ferromagnetism in Transparent Transition Metal-Doped Titanium Dioxide", <i>Science</i> , Vol. 291, pp. 854-856, February 2, 2001 www.sciencemag.org
	AZZZZ	McIvor, J. et al., "Pregnancy After Uterine Artery Embolization to Control Haemorrhage from Gestational Trophoblastic Tumour", <i>British Journal of Radiology</i> , Vol. 69, No. 823, pp. 624-629, July 1996
	AAAAAA	MerocelXL Sponge with Hytrol http://www.xomed.com/newproducts/merocelxl/merocelxl_earwick.asp , 3 pages, 2001
/LK/	ABBBBB	Moroz, P. et al., "Arterial Embolization Hyperthermia in Porcine Renal Tissue", <i>Journal of Surgical Research</i> , Vol. 105, No. 2, pp. 209-214, June 15, 2002

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/LK/	ACCCCC	Moroz, P. et al., "Hepatic Clearance of Arterially Infused Ferromagnetic Particles", <i>Int. J. Hyperthermia</i> , Vol. 19, No. 1, pp. 23-24, February 2003 http://www.tandf.co.uk/journals
	ADDDDD	Orsini, L. F. et al., "Pelvic Organs in Premenarcheal Girls: Real-Time Ultrasonography", <i>Radiology</i> , Vol. 153, No. 1, pp. 113-116, October 1984
	AEEEEEE	Phillips, D. R. et al., "Experience with Laparoscopic Leiomyoma Coagulation and Concomitant Operative Hysteroscopy", <i>J. Am. Assoc. Gynecol. Laparosc</i> , Vol. 4, No. 4, pp. 425-533, August 1997
	AFFFFF	Poppe, W. et al., "Pregnancy after Transcatheter Embolization of a Uterine Arteriovenous Malformation", <i>Am. J. Obstet. Gynecol.</i> , Vol. 156, No. 5, pp. 1179-1180, May 1987
	AGGGGG	Ravina, J.H. et al., "Advantage of Pre-Operative Embolization of Fibroids: About a Multicentric Set of 31 Cases", <i>Contracept. Fertil. Sex.</i> , Vol. 23, No. 1, pp. 45-49, January 1995 (abstract)
	AHHHHH	Ravina, J.H. et al., "Arterial Embolisation to Treat Uterine Myomata", <i>Lancet</i> , Vol. 346, pp. 671-674, September 9, 1995
	AIIIII	Ravina, J.H. et al., "Interest of Particulate Arterial Embolization in the Treatment of Some Uterine Myoma", <i>Bull. Acad. Natle. Med.</i> , Vol. 181, No. 2, pp. 233-246, February 4, 1997 (Summary)
	AJJJJJ	Schlieff, R. et al., "Enhanced Color Doppler Echocardiography of the Left Heart After Intravenous Injection of a New Saccharide Based Agent in Humans", <i>Circulation</i> , Vol. 82, No. 2, p. 28, October 1990 (Abstract)
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	ALLLLL	Shape Shifters http://www.sciam.com/tehbiz/0501scicit6.html , 3 pages, 2001
	AMMMMM	Shung, K.K. et al., "Scattering of Ultrasound by Blood", <i>IEEE Transactions on Biomedical Engineering</i> , Vol. BME-23, No. 6, pp. 460-467, November 1976
	ANNNNN	Sigelmann, R.A. et al., "Analysis and Measurement of Ultrasound Backscattering from an Ensemble of Scatters Excited by Sine-Wave Bursts", <i>Journal of Acoustical Society of America</i> , Vol. 53, No. 4, pp. 1351-1355, April 1973
	AOOOOO	SIR-Spheres (Yttrium-90 Microspheres), pp. 1-12
	APPPPP	SIR-Spheres, Radioactive Implant (Yttrium-90 Microspheres), Sirex Medical, Inc., San Diego, CA, November 6, 2000, pp. 1-15
/LK/	AQQQQQ	Sirtex Medical Limited – Product Description http://www.sirtex.com/?p=72 (Retrieved from the Internet on May 27, 2003)

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/LK/	ARRRRR	Sirtex Medical Limited – Targeted Radiotherapy with SIR-Spheres http://www.sirtex.com/?p=57 (Retrieved from the Internet on May 27, 2003)
	ASSSSS	Skotland, T. et al., "In Vitro Stability Analyses as a Model for Metabolism of Ferromagnetic Particles (Clariscan™), a Contrast Agent for Magnetic Resonance Imaging", <i>J. Pharm. Biomed. Anal.</i> , Vol. 28, No. 2, pp. 323-329, April 15, 2002
	ATTTTT	"Smart Sutures Tie Themselves", April 26, 2002 http://www.sciam.com/article.cfm?articleID=00047706-121F-1CD0-B4A8809EC588
	AUUUUU	Smith, M.D. et al., "Left Heart Opacification with Peripheral Venous Injection of a New Saccharide Echo Contrast Agent in Dogs", <i>JACC</i> , Vol. 13, No. 7, pp. 1622-1628, June 1989
	AVVVVV	Stancato-Pasik, A. et al., "Obstetric Embolotherapy: Effect on Menses and Pregnancy", <i>Radiology</i> , Vol. 204, No. 3, pp. 791-793, September 1997
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 01194-823002	Application No. 10/791,103
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Paul DiCarlo et al.	
		Filing Date March 2, 2004	Group Art Unit 1773

Other Documents (include Author, Title, Date, and Place of Publication)		
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